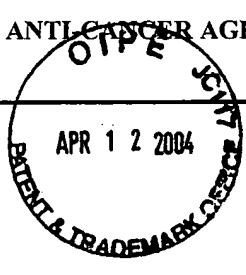


1 image

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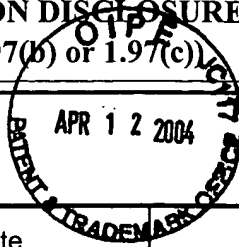
CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No. NOV-0001	
Applicant(s): Sophie Chen				
Serial No. 10/072,8523	Filing Date February 8, 2002	Examiner Michael V. Meller	Group Art Unit 1654	
Invention: ANTI-CANCER AGENTS AND METHOD OF USE THEREOF				
				
I hereby certify that this <u>IDS (1 pg); Trans. of IDS (2 pgs); Inf. Disc. Cit. (8 pgs) & 57 references</u> (Identify type of correspondence)				
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. NOV-0001
In Re Application Of: SophieChen			
Serial No. 10/072,823	Filing Date February 8, 2002	Examiner Michael V. Meller	Group Art Unit 1654
Title: ANTI-CANCER AGENTS AND METHOD OF USE THEREOF			
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> <p>37 CFR 1.97(b)</p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(c)</p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <div style="text-align: center; margin-top: 10px;"> <p>OR</p> </div> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
NOV-0001

In Re Application: SophieChen



Serial No.

10/072,823

Filing Date

February 8, 2002

Examiner

Michael V. Meller

Group Art Unit

1654

ANTI-CANCER AGENTS AND METHOD OF USE THEREOF

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130** as described below.
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Lindsay Wells

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***This certificate may only be used if paying by deposit account.**

Karen A. LeCuyer

Signature

Dated: April 8, 2004

Karen A. LeCuyer Ph.D.

Registration No. 51,928

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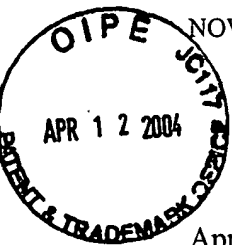
Cantor Colburn LLP

55 Griffin Road South

Bloomfield, CT 06002

(860) 286-2929

CC:



NOV-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sophie Chen)
Serial No.: 10/072,823) Group Art Unit: 1654
Filing Date: February 8, 2002) Examiner:
For: ANTI-CANCER AGENTS AND) Michael V. Meller
METHODS OF USE THEREOF)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P. O. Box 1450
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Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

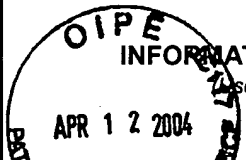
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: Karen A. LeCuyer
Karen A. LeCuyer Ph.D.
Registration No. 51,928

Date: April 8, 2004
Customer No. 23,413
(860) 286-2929

<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> <p>APR 12 2004</p> </div>	ATTY DOCKET NO. NOV-0001	SERIAL NO. 10/072,823
	Sophie Chen	
	FILING February 8, 2002	GROUP 1654

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
10	✓	WO 02/34073	5/2/2002	PCT				✓
11		WO 98/09615	3/12/1998	PCT			✓	
12		WO 01/66123 A2	9/13/2001	PCT			✓	
13		WO 01/78783 A2	10/25/2001	PCT			✓	
14		WO 95/20960	8/10/1995	PCT			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	✓	15	JP9176011; 1995 12 27; Abstract Only; XP-002257002 (1 page)
	✓	16	Maio-Ying Lai et al, "Relative Flavone Bioavailability of Scutellariae Radix Between Traditional Decoction and Commercial Powder Preparation in Humans", JOURNAL OF FOOD AND DRUG ANALYSIS, Vol. 10, no. 2, 2002, pages 75-80.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APR 12 2004

ATTY DOCKET NO.
NOV-0001

SERIAL NO. 10/072.823

Sophie Chen

FILING
February 8, 2002

GROUP	1654
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	17 ✓	WO 01/80855 A1	11/1/2001	PCT			✓	
	18 ✓	EP 1 159 963 A1	5/12/2001	Europe			✓	
	19 ✓	WO 01/68098 A	9/20/2001	PCT			✓	
	20 ✓	EP 1 374 880 A	1/2/2004	EUROPE			✓	
	21 ✓	WO 02/076484 A	10/3/2002	PCT			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	22	Ma Jing, et al; "Apoptosis Induced by Isoliquiritigenin in Human Gastric Cancer MGC-803 Cells"; PLANTA MEDICA; Vol. 67, No. 8; November 2001; pages 754-757
	23	Kubo M, et al; "Scutellaria Radix 7. Anti-Arthritic and Anti-Inflammatory Actions of Methanolic Extract and Flavonoid Components from Scutellariae Radix", CHEMICAL AND PHARMACEUTICAL BULLETIN (TOKYO); Vol. 32, No. 7; 1984; pages 2724-2729

EXAMINER

DATE CONSIDERED

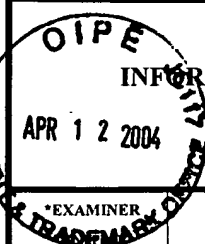
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February 8, 2002

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 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>		Docket Number (Optional) NOV-0001		Application Number 10/072,823	
		Applicant(s) Sophie Chen			
		Filing Date February 8, 2002		Group Art Unit 1654	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
	45	✓	JP 09301915, Abstract Only, 25-11-97, 1 page		
	46	✓	Dae Sik Jang et al, "Prenylated Flavonoids of the Leaves of Macaranga Conifera with Inhibitory Activity Against Cyclooxygenase-2", PHYTOCHEMISTRY, Vol. 61, 2002, pages 867-872		
	47		International Search Report, International Application No. PCT/US 03/06979, International Filing Date 06/03/2002, Date of Mailing 01/03/2004		
	48		International Search Report, International Application No. PCT/US 03/24088, International Filing Date 01/08/2003, Date of Mailing 05/03/2004		
	49	✓	JP 60178815, Abstract Only, 1986-01-29, XP002268305 1 page		
	50	✓	JP 06024975, Abstract Only, 1994-02-01, XP002268306, 1 page		
	51	✓	JP 61263925, Abstract Only, 1986-11-21, XP 002268307, 1 page		
	52	✓	JP 08104628, Abstract Only, 1996-04-23. XP 002268308, 2 pages		
	53	✓	JP 2000 239136, Abstract Only, 2000-09-05, XP 002268309, 2 pages		
	54	✓	JP 04283518, Abstract Only, 1992-10-08, XP 002268310, 1 page		
	55	✓	JP 2001 114675, Abstract Only, 2001-05-24, XP 002268311, 4 pages		
	56	✓	JP 52 145509, Abstract Only, 1977-12-03, XP 002268312, 1 page		
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